

Reductive Openings of Acetals: Explanation of Regioselectivity in Borane Reductions by Mechanistic Studies

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The mechanisms of regioselective reductive openings of acetals were investigated in several model systems by a combination of Hammett plots, kinetic experiments, density functional calculations, and ¹¹B NMR. The regioselectivity of borane reductions of cyclic acetals can be controlled by the choice of borane. Lewis acid activation of $BH_3 \cdot NMe_3$ increases the reaction rate and renders the borane the most electrophilic species, which associates to the more electron-rich oxygen of the acetal. In contrary, without activation, the regioselectivity is instead directed by the Lewis acid, as exemplified by the reaction with $BH_3 \cdot THF$.

Introduction

In 1951, Doukas and Fontaine opened the acetal diosgenin using LiAlH₄ and HCl, to give dihydrodiosgenin.¹ Later investigations established the active reagent in the reduction as LiAlH₄-AlCl₃ and the chemo-, stereo-, and regioselectivity of reductive openings of cyclic acetals were intensively explored.² The methodology turned out to be especially fruitful in carbohydrate chemistry, where reductive openings of acetals facilitated protective group manipulation.³

The harsh conditions, that made the use of many functionalities impossible, turned out a major problem. Thus, Garegg and Hultberg introduced the reagent combination NaCN-BH₃-HCl-THF which, interestingly, gave the opposite regioselectivity compared to the original method.⁴ In order to get back to the original regioselectivity the Garegg group turned

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SCHEME 1. Examples of Regioselective Openings of Benzylidene Acetals



their attention to boranes. They found that the reagent combination $BH_3 \cdot NMe_3 - AlCl_3$ gave different regioselectivity in different solvents, i.e., 6-*O*-benzyl ethers in THF and 4-*O*-benzyl ethers in toluene or dichloromethane/ether mixtures. Due to degradation, reactions in toluene usually gave low yields. The methods are summarized in Scheme 1.

Despite the numerous reports on acid-mediated nucleophilic addition to acetals,⁶ and the immense importance of regioselective reductive openings of acetals for organic chemistry in general and for carbohydrate chemistry in particular, the underlying principles for the regioselectivity are still not understood.⁷ However, one mechanism, proposed by Garegg (Scheme 2),⁸ explain the regioselective outcome by the difference in steric bulk between AlCl₃ and a proton. This means that in the original method, AlCl₃ is supposedly first coordinated to the sterically less demanding oxygen at position 6 (path A). In contrary, in the NaCNBH₃-HCl method the proton, which is not restricted by steric effects, coordinates to *O*-4 to give the more stable oxocarbenium ion at *C*-6 (path B).

There are several problems associated with this mechanistic explanation. The major issue is the problem to explain the regiochemical outcome of the reaction with BH₃•NMe₃-AlCl₃ in THF, which follows path B, despite the obvious conclusion that the strongly solvated AlCl₃•THF would preferably associate with the less sterically hindered *O*-6 to give the 4-*O*-benzyl ether.⁵ This mechanism also fails to rationalize why Cu(OTf)₂

gives the 4-O-benzyl ether in combination with $BH_3 \cdot THF$ and the 6-O-benzyl ether with $BH_3 \cdot NMe_3$.⁹

Results

In our investigations of reductive openings of compound **1** we found that, irrespective of the Lewis acid used (AlCl₃, BF₃•OEt₂, In(OTf)₃, Cu(OTf)₂, AgOTf), the 4-*O*-benzyl ether **2** was formed using BH₃•THF, and the 6-*O*-benzyl ether **3** was isolated using BH₃•NMe₃ as reducing agent, in THF as solvent. To our surprise, reductions using BH₃•NMe₃ were generally faster compared to reactions using BH₃•THF, despite the general belief that BH₃•THF is the more reactive reagent.¹⁰

A. Lewis Acid Activation of BH₃·NMe₃. We have previously introduced benzylic—phenolic acetals for the synthesis of fluorescently labeled probes.¹¹ These acetals were opened under reductive conditions to yield double benzylic ethers, and we realized that these compounds form an excellent model system to investigate regioselective reductive openings of cyclic acetals. Compounds **4a**–**i** (Scheme 3) were thus synthesized from the corresponding dimethyl acetals and opened using BH₃·NMe₃–AlCl₃ in THF at 0 °C.¹² In all cases, double benzylic ethers (compounds **5a**–**i**) were isolated as the single products.

The proposed mechanism for reductive opening of acetals presumes coordination of the Lewis acid to one of the oxygen atoms, according to path A in Scheme 4, followed by reduction of either the unopened acetal or the oxocarbenium ion. Irrespective of mechanistic pathway, the Lewis acid must associate with the oxygen that will become a free hydroxyl group. Since only compounds 5a-i were isolated, the Lewis acid must have coordinated to the phenolic oxygen.

The electrostatic potentials of the oxygen atoms in compounds 4a-i were calculated using density functional theory at the B3LYP/6-31G* level.¹³ Throughout the series, the benzylic oxygen showed the highest electrostatic potential. Interestingly, the benzylic oxygen is thus both the more basic and also the less sterically hindered oxygen atom, but not the one chosen by the Lewis acid. With respect to these calculations, there are two alternative explanations: (i) the relative energies of the two oxocarbenium ions direct the regiochemistry or (ii) the outcome is instead directed by initial coordination of the borane, followed by coordination of the Lewis acid and subsequent reduction (Scheme 4, path B).

To investigate these two options, we calculated the energy of BH₃ associated to the oxygen atoms of compound **4a**, generating structures **7a** and **7b**, as well as the relative energies of two analogous oxocarbenium ions, i.e., structures **8a** and **8b** (Chart 1).¹⁴ From the energy differences, the ratios were calculated using the Boltzmann distribution and showed exclusive preference for **7a** and **8a**. This means that the most stable oxocarbenium ion and the most stable boron complex would lead to the same product (i.e., compound **5a**). As a control, we calculated the energy of AlCl₃ associated to the two oxygen

- (12) Experimental details can be found in the Supporting Information.
- (13) Spartan '02 for Macintosh, Wavefunction, Inc., Irvine, CA.
- (14) Jaguar, version 7.0, Schrödinger, LLC, New York, NY, 2007.

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SCHEME 2.



SCHEME 3. Reductive Opening of Phenolic–Benzylic Acetals



atoms of compound **4a**. Not surprisingly, we found a 100:0 distribution of the Lewis acid associated with the benzylic oxygen.

To distinguish between the two mechanistic possibilities, we turned to Hammett plots. Compounds 4a-i were subjected to $BH_3 \cdot NMe_3 - AlCl_3$ in THF, and the rate constants were determined by initial-rate analysis over the linear part of the plots (Figure 1).

The Hammett correlation for the A ring was best fitted to normal σ_{para} -parameters, while the correlation for the B ring best fitted to σ^+ -parameter. Both Hammett plots resulted in negative ρ values with a more pronounced effect for the B ring compared to the A ring. This indicates that the buildup of a positive charge of the acetal carbon is more important than the charge of the phenolic oxygen, which in turn implies a diminished importance of the oxocarbenium ion pathway.

To gain further insight in the reaction mechanism, the concentrations of **4a**, BH₃•NMe₃, and AlCl₃ were systematically varied and the initial-rate kinetics determined (Figure 2).

From these data, we conclude that the reaction is first order with respect to **4a**. Interestingly, the kinetic data for variation of $[AlCl_3]_0$ show that the reaction is of higher order (Figure 2b, dotted line indicates first order kinetics). One possible explanation is activation of the borane by the Lewis acid, a concept suggested, but not further investigated by Brown.¹⁵ To investigate this option, we estimated the energies for formation of different complexes as shown in Scheme 5. The dissociation energies for known complexes (Table 1), in combination with calculated data for formation of complexes of **4a** with the electrophiles (i.e., BH₃ and AlCl₃), indicate that reactions a and b are thermodynamically unfavorable (by 32 and 45 kJ/mol, respectively), whereas reaction c is thermoneutral.^{12,14} The driving force is the formation of the highly stabilized AlCl₃·NMe₃.

Activation of the borane by $AlCl_3$ can also explain the complex kinetics shown by variation of $[BH_3 \cdot NMe_3]_0$. At low amounts of borane, the relative amount of $AlCl_3$ is high (7:1), resulting in unusually high reaction rates.

Finally, to examine the fate of the borane in the reaction we performed a ¹¹B NMR study. Compound **4a** was dissolved in THF- d_8 and subjected to the reductive conditions. At the beginning of the reaction, only BH₃•NMe₃ (quartet at -7.5 ppm) can be seen (Figure 3). Over the course of the reaction, a new peak (triplet at 8 ppm) appears, which corresponds to a BH₂ group, probably associated with oxygen.¹⁷ The species Me₃NBH₂ would have appeared at 38 ppm, where no peak was observed. This clearly shows that the borane is cleaved from the amine, possibly by interaction with the Lewis acid.





CHART 1. Energies for Compounds 7 and 8 Calculated Using Density Functional Theory at the B3LYP/6-31G** Level



B. Regioselectivity by Choice of Borane. Due to unfavorable thermodynamics, it is reasonable to assume that $BH_3 \cdot THF$ is not activated by the Lewis acid. Thus, in order to evaluate the differences between $BH_3 \cdot NMe_3$ and $BH_3 \cdot THF$, we turned to catechols, where it is possible to fine tune the stereoelectronics without interference of steric effects. We therefore synthesized catechol acetals and subjected these to the reductive conditions (Scheme 6). Generally, these acetals were more difficult to open, compared to the six-membered rings, and the analogous nitro compound was inert under these conditions.

Compound **9a** was opened using $BH_3 \cdot NMe_3$ to give a mixture of **10a:11a** in a 34:66 ratio. A similar opening of **9b**, carrying a less electron-donating methyl group, gave a 47:53 distribution of **10b:11b** (duplicate reactions). Interestingly, opening of **9a** and **9b** using $BH_3 \cdot THF$ gave a 65:35 mixture of **10a:11a** and a 53:47 mixture of **10b:11b**, i.e., the opposite results compared to $BH_3 \cdot NMe_3$.



FIGURE 1. Hammett plots of (a) substituent variation in the A ring and (b) substituent variation in the B ring. Error bars show standard deviation.





FIGURE 2. Initial rate analysis of the formation of product (P). Variation of (a) [**4a**]₀, (b) [AlCl₃]₀, (c) [BH₃·NMe₃]₀. When constant [**4a**] = 0.033 mol L⁻¹, [AlCl₃] = 0.23 mol L⁻¹, [BH₃·NMe₃] = 0.20 mol L⁻¹. Error bars show standard deviation.

SCHEME 5. Possible Reactions between Reactive Species in the Mixture^{α}

a) $4a + BH_3 \cdot NMe_3 \longrightarrow 4a \cdot BH_3 + NMe_3$ b) $4a + AICI_3 \cdot THF \longrightarrow 4a \cdot AICI_3 + THF$ c) $4a + BH_3 \cdot NMe_3 + AICI_3 \cdot THF \longrightarrow$

← 4a•BH₃ + THF + AICl₃•NMe₃

 $^{a}% \left(\mathbf{b}^{a}\right) =0$ While reactions a and b are thermodynamically unfavorable, reaction c is thermoneutral.

The energies of possible reactive intermediates (Chart 2) were calculated using density functional theory at the B3LYP/6- $31G^{**}$ level, and ratios (leading to **10** and **11**) were estimated using the Boltzmann distribution. The data are summarized in Table 2.¹⁴

These data show a remarkable agreement with initial coordination of the borane in the case of BH₃•NMe₃ as reducing agent (i.e., **12:13**) and association of the Lewis acid in the case

TABLE 1. Dissociation Energies for Lewis Acid–base Complexes 16

complex	dissociation energy (kJ/mol)
BH ₃ •NMe ₃	160
AlCl ₃ •NMe ₃	199
AlCl ₃ •THF	90
AlCl ₃ •2THF	132

of BH_3 •THF (i.e., **15**:14). It seems less likely that the outcome is directed by the oxocarbenium ions (i.e., **17**:16).

The results can be explained by $BH_3 \cdot NMe_3$ being activated by AlCl₃, and that this activation results in both higher reaction rates and also, somewhat surprising, that the borane is the most electrophilic species and thus directs the reactivity. It is also reasonable to assume that no such activation would occur in the reaction with $BH_3 \cdot THF$ and that the selectivity instead depends on the Lewis acid, similar to the earlier proposed mechanism.

To shed further light on the mechanism of reductive opening of carbohydrate benzylidene acetals, we investigated the reaction kinetics with respect to $[AlCl_3]_0$, in the openings of compound 1, using BH₃•NMe₃ (to give 3) and BH₃•THF (to give 2). The reactions were monitored by quantitative TLC, and the initial rate kinetics were determined (Figure 4).¹⁸

We conclude that reductive opening of compound 1 follows first order kinetics using $BH_3 \cdot THF$, but higher order kinetics using $BH_3 \cdot NMe_3$. This is in full agreement with our mechanistic proposal, where the activation of $BH_3 \cdot NMe_3$ requires a second equivalent of Lewis acid compared to the reaction using $BH_3 \cdot THF$. The regioselectivity is directed by association of the most electrophilic species (i.e., BH_3 or AlCl₃ depending on activation) to the most electron-rich oxygen.



FIGURE 3. Stacked ¹¹B NMR spectra of the reductive opening of compound 4a (0-45 min).

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SCHEME 6. Reductive Opening of Catechol Acetals







 TABLE 2.
 Experimental and Calculated Ratios for Reductive Opening of Compounds 12–17

	acetal 9a	acetal 9b
experimental ratio ^{<i>a</i>} (BH ₃ •NMe ₃)	34:66	47:53
experimental ratio ^{<i>a</i>} (BH ₃ •THF)	65:35	53:47
calculated ratio $(12:13)^b$	35:65	46:54
calculated ratio $(15:14)^b$	70:30	52:48
calculated ratio $(17:16)^b$	2:98	22:78

^{*a*} 10:11. ^{*b*} The ratios are calculated to indicate the formation of 10:11.

Discussion

With these observations, we propose a mechanism for reductive openings of acetals using $BH_3 \cdot NMe_3$ in THF, where the Lewis acid activates the borane complex and the more electron-rich oxygen of the acetal associate with the borane (Scheme 7). The reduction takes place by activation of the other oxygen by a second molecule of AlCl₃, which explains the higher reaction order.

Since the introduction of reductive openings of benzylidene acetals, a plethora of reagent combinations have been developed. The methods are summarized in Table 3.

From the data in Table 3 we conclude that BH₃•THF generally gives reductive openings to the free 6-hydroxyl (i.e.,

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FIGURE 4. Initial rate analysis for the formation of compound **2** using BH₃•THF (diamonds) or **3** using BH₃•NMe₃ (circles). [**1**]₀ = 0.054 mol L⁻¹, [BH₃•NMe₃]₀ = [BH₃•THF] $_0$ = 0.217 mol L⁻¹. All reactions were performed in duplicate.

SCHEME 7. Proposed Mechanism for Lewis Acid Activation of Borane Acetal Openings Using BH₃·NMe₃



compound **2**). BH₃·Me₂S seems to be a borderline case, while BH₃·NMe₃ (Table 3 and our data) gives the free 4-hydroxyl (**3**) in THF. When the reactions are performed in nonpolar solvents, such as toluene or CH₂Cl₂, the selectivities are probably directed exclusively by the Lewis acid, but the higher reactivity of the unsolvated electrophiles results in degradation and unselective reactions.⁵

It is reasonable to assume that the active borane in other methods actually is a borane-solvent complex. For example, a solution of BH₃•THF can be formed by reaction of NaBH₄ with AlCl₃ in THF or by addition of BF₃•OEt₂ to LiAlH₄. In a similar

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TABLE 3. Examples of Reagents for Reductive Opening of 1

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conditions	yield 2:3 (%)	ref
BH3•THF, Bu2BOTf, THF/CH2Cl2, 0 °C, 1 h	87:0	19
BH ₃ •THF, Cu(OTf) ₂ , THF/CH ₂ Cl ₂ , rt, 45 min	94:0	9
BH ₃ •THF, CoCl ₂ , THF, rt, 10 min	100:0	20
BH ₃ •THF, M(OTf)n, ^a CH ₂ Cl ₂ , rt, 3-5 h	87-94:0	21
BH ₃ •Me ₂ S, Cu(OTf) ₂ , THF, rt, 10 h	78:3	9
BH ₃ •Me ₂ S, BF ₃ •Et ₂ O, CH ₂ Cl ₂ , 0 °C	62:20	22
BH ₃ •Me ₃ N, Cu(OTf) ₂ , THF/CH ₂ Cl ₂ , rt, 25 h	0:40	9
BH ₃ •Me ₂ NH, BF ₃ •Et ₂ O, CH ₂ Cl ₂ , 0 °C	73:3	23
BH ₃ •Me ₂ NH, BF ₃ •Et ₂ O, CH ₃ CN, 0 °C	55:30	23
9-BBN, Cu(OTf) ₂ , THF, rt, 27	40:0	9
Me ₂ EtSiH, Cu(OTf) ₂ , CH ₃ CN, 0 °C, 30 min	0:84	9
PMHS, ^b AlCl ₃ , Et ₂ O/CH ₂ Cl ₂ , rt, 12 h	80:0	24
DIBAL, CH ₂ Cl ₂ , 0 °C, 6 h	75:8	25
a M = V(O), Sc, Pr, Nd, Sm, Eu, Gd. b Polyme	ethylhydrosiloxan	e.

way HCl would activate NaCNBH₃. Interestingly, LiAlH₄ reacts with AlCl₃ in ether solvents to give AlH₃, which reacts similarly to BH₃, to explain the selectivity in the original method for reductive openings. Finally, DIBAL can act as both Lewis acid and reducing agent in these reactions.

Conclusions

To summarize, Lewis acid activation of the borane complex renders the borane the most electrophilic species, which associates to the more electron-rich oxygen of the acetal (Scheme 8, path B). In contrary, without activation, the regioselectivity is instead directed by the Lewis acid (path A).

Experimental Section

For general experimental details, synthesis of all starting materials, and kinetic data see the Supporting Information.

General Procedure for Hammett Plots. Compounds 4a-i (0.146 mmol) and BH₃•NMe₃ (0.874 mmol) were dissolved in THF (3 mL), and an ice-cold solution of AlCl₃ (1.019 mmol) in THF (1.5 mL) was then added at 0 °C. Samples (0.450 mL) were taken at different times and quenched by addition of NaHCO₃ (aq satd, 0.500 mL). The samples were then extracted twice with Et₂O (0.450 mL) and concentrated in vacuum. The residues were dissolved in CDCl₃ and analyzed by ¹H NMR. All reactions were run in triplicate. The molar concentration of product was determined from NMR peak ratios and plotted versus time. Linear regression over the linear part of the curves (determined by *f* values) gave the reaction rates.

General Procedure for Rate Determination of Reductive Opening of 4a. The amounts of AlCl₃, BH₃·NMe₃, and 4a were varied according to Figure 2. Compound 4a and BH₃·NMe₃ were dissolved in THF (3 mL), and an ice-cold solution of AlCl₃ in THF (1.5 mL) was then added at 0 °C. Samples (0.450 mL) were taken at different times and quenched by addition of NaHCO₃ (aq satd, 0.500 mL). The samples were then extracted twice with Et₂O (0.450 mL) and concentrated in vacuum. The residues were dissolved in CDCl₃ and analyzed by ¹H NMR. All reactions were run in duplicate. The molar concentration of product was determined from NMR peak ratios and plotted versus time. Linear regression over the linear part of the curves (determined by *f* values) gave the reaction rates.

General Procedure for Rate Determination of Reductive Opening of 1. The amounts of AlCl₃ were varied according to

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SCHEME 8. Regiochemical Outcome Is Directed by the Relative Nucleophilicity of the Acetal Oxygens toward the Lewis Acid (Path A) or the Activated Borane (Path B)



Figure 4. To a solution of 1 in THF (0.250 mL, 0.216 M) were added BH₃•THF (0.215 mL, 1 M in THF) or BH₃•NMe₃ (0.250 mL, 0.088 M in THF), THF (0.100–0.435 mL), and finally AlCl₃ (0.100–0.400 mL, 1.09 M in THF). Samples (0.050 mL) were taken at different times, quenched by addition of NaHCO₃ (0.250 mL, satd aq), and extracted with ether (0.100 mL). All reactions were run in duplicate. The molar concentration of product was determined from quantitative TLC ratios and plotted versus time. Linear regression over the linear part of the curves (determined by f values) gave the reaction rates.

¹¹B NMR Experiments. Compound 4a (4 mg, 0.02 mmol) and BH₃·NMe₃ (8 mg, 0.11 mmol) were dissolved in THF- $d_{\$}(0.75 \text{ mL})$.

AlCl₃ (18 mg, 0.13 mmol) was added, and the reaction was followed by 11 B NMR.

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Supporting Information Available: Complete experimental procedures, product characterization, spectral data, kinetic data, and computational data. This material is available free of charge via the Internet at <u>http://pubs.acs.org</u>

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